

APPENDIX to Supplementary Form for Application for Green Credit Guarantee in favour of Bank – for a credit in direct relation to a specific export transaction

Questions about end user, environmental and social matters This appendix must be completed and signed by the borrower's signatory in connection with a supplementary form to an application for a green credit guarantee.

Date

Date of supplementary form:

1. Borrower	<p>Name</p> <p>Postal address:</p>
2. End user	<p>a) Where will the goods/services be used? *)</p> <div style="display: flex; justify-content: space-around;"> The buyer's business (proceed to item 2 c) Other business (proceed to item 2 d) Unknown end user (proceed to item 2 d) </div> <p>b) Is the end user a known company? If yes, supply information about the company/companies:</p> <p>Name</p> <p>Organisation-/Registration-/DUNS-number</p> <p>Address</p> <p>Country</p> <p>c) Is the end user a specific business or a project? *) If yes, supply information about the business/project (if more than one, describe all):</p> <p>Name</p> <p>Description</p> <p>Country</p> <p>GPS coordinates for the location where the goods/services will be used</p> <p>Choose industry sector from list of value or find list under Special instructions:</p> <p>The business phase:</p> <div style="margin-left: 20px;"> <p>Existing operations</p> <p>Material expansion of existing operations</p> <p>New project</p> </div> <p>d) Country/countries where the goods/ services will be used (if not stated under item 2b and/or 2c) *)</p>

	e) Describe how the goods/ services will be used (if not stated under 2 c)	
	f) Industry sector for the business where the goods/services will be used (if not stated under 2c). Choose industry sector from list of value or find list of industry sectors under Instructions: Industry sector	
3. Environmental matters		
a) The exporter has investigated the risk of serious environmental impact in the business activity where the goods and/or services are to be uses *) Yes No		
If yes, please describe how the investigation was conducted:	If no, please describe why no investigation has been done Low risk of serious environmental impact where the goods/ services are to be used Other reason (Describe)	
b) The business activity where the goods and/or services will be used pose a serious risk to or has a serious impact on the environment *) No Yes (Describe)	c) The activity where the goods/services will be used is conducted in or near environmentally sensitive areas *) No Yes (Describe)	
d) There are relevant positive environmental impacts? *) No Yes (Describe)		
4. Social matters		
a) The exporter has investigated serious risks and impacts on human rights and working conditions in the business activity where the goods/services are to be used *) Yes No		
If yes, please describe how the investigation was conducted:	If no, please describe why no investigation has been done Low risk of serious impacts on human rights and working conditions where the goods/services are to be used Other reason (Describe)	
b) The business activity where the goods/services will be used pose a serious risk to or has a serious impact on human rights and working conditions? *) No Yes (Describe)		
c) There are relevant positive social impacts? *) No Yes (Describe)		

5. Special and additional information to the previous items

Borrower's company name:

Signature and name in block letters:

Special instructions for completing the form

Item 2 *End user*

- a) Other business e.g. when the buyer is a reseller or contractor to / in other business activities
- c) Specific business activities such as a specific mine, a factory or motorway construction.

Description of the Business operation/Project:

- Example 1: The goods will be used at mine X located in the Y province.
- Example 2: The goods will be used at the Y factory located in the city Z.
- Example 3: The goods will be used in the construction of the motorway between the city Z and the city ZZ.
- Example 4: The goods will be used in the mining sector in the YY region

The business phase:

- Greater expansion of existing operations refers to expansion / capacity increase / change.
- New project concerns new commercial, industrial or infrastructure projects.

- e) The specific business where the goods/services will be used may be unknown, but please describe what you know such as:
 - they will be used within the mining sector in country X;
 - they will be used in different business activities in region Y;
 - the retailer will sell mostly to industry sector Z;
 - the goods consist of spare parts or services to industry sector Z in country X

Industry sectors:

This is a list of the risk industry sectors EKN have identified. If nothing applies to your transaction, please select "Other industry sector".

- Waste management
- Mining and quarries
- Infrastructure and construction
- Agriculture, animal husbandry, forestry
- Chemical Industry
- Power generation, fossil energy (coal, oil, gas)
- Power generation, renewable energy (wind, sun, hydro, bio fuels)
- Power transmission including substations
- Military, coast guard
- Oil and gas extraction, petrochemical industry
- Steel and Iron industry
- Telecommunication and information technology, surveillance
- Textile industry
- Manufacturing pulp and paper
- Water treatment and water supply

Item 3 *Environmental matters*

These questions also include information about business activities connected to the new project and necessary for the project development. Examples of connected business activities: a new harbour built in connection with a new mining project; forest areas that supply a new pulp mill with raw material; new roads built to a new industrial project (these businesses are not necessarily connected geographically to the new project).

- a–b) Serious environmental impact include, for example, Impact on climate, air, water, land, resource consumption, biodiversity, sensitive natural areas and the impact of environmental effects on people and communities.
- c) Sensitive natural areas include, for example, national parks and other protected areas according to national or international law and sensitive natural areas such as wetlands, areas with high biodiversity and / or endangered species. Sensitive natural areas also refer to historical / cultural monuments as well as important areas for indigenous peoples or other vulnerable groups.
- d) Relevant positive environmental impacts include, for example, export of products that reduce the impact on the climate, contributions to the UN's sustainability goals (Agenda 2030).

Item 4 *Social matters*

- a) MSerious risks and impacts on human rights and working conditions include, for example, risk of child labor, forced labor, discrimination, health and safety, as well as the right to freedom of association and collective bargaining, but also the companies' influence on health and safety in the local area, relocation, indigenous people, cultural areas and freedom on the Internet.
- b) The business activity where the goods/service will be used pose a serious risk to or has a serious impact on human rights with particularly grave effects (child labour, forced labour, trafficking in human beings, threats to life), widespread (large-scale migration or working conditions in a sector), cannot be restored (torture, health impacts, loss of indigenous people) or is related to the project site (conflict or post-conflict areas)
- c) Relevant positive social effects include, for example, exports of products that increase the number of jobs, contributions to the UN's sustainability goals (Agenda 2030) and freedom on the net.